



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/599,806	06/21/2000	Scott Ruthfield	MS1-557US

Lance R Sadler
 Lee & Hayes PLLC
 421 W Riverside Avenue Suite 500
 Spokane, WA 99201



FORMALITIES LETTER



OC000000005361427

Date Mailed: 08/29/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2088.
 - \$1152 for 64 total claims over 20.
 - \$936 for 12 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 2908.

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 8888
 8888
 710.00
 130.00
 960.00
 1152.00

000000011 0959986

11/02/2000 MMU#51

01 FC:101
 02 FC:105
 03 FC:102
 04 FC:103


 Customer Service Center
 Initial Patent Examination Division (703) 308-1202

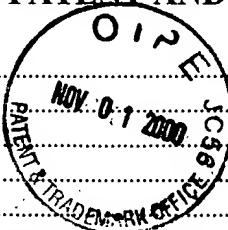
PART 2 - COPY TO BE RETURNED WITH RESPONSE

SECRET
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1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No..... 09/599,806
3 Filing Date NOV 07 2000 6/21/00
4 Inventorship Ruthfield
Applicant Microsoft Corporation
Attorney's Docket No. MS1-557US

5 Title: Methods and Systems of Providing Information to Computer Users



6 **TRANSMITTAL LETTER AND CERTIFICATE OF MAILING**

7 To: Commissioner of Patents and Trademarks,
Washington, D.C. 20231
8 From: Lance R. Sadler (Tel. 509-324-9256; Fax 509-323-8979)
Lee & Hayes, PLLC
9 421 W. Riverside Avenue, Suite 500
Spokane, WA 99201

10 The following enumerated items accompany this transmittal letter and are being submitted for the
matter identified in the above caption.

- 11 1. Transmittal letter including Certificate of Mailing
2. Check in the Amount of \$2952.00
3. Notice to File Missing Parts of Application
4. Executed Declaration
5. Fee Transmittal
6. Return Post Card

15 Large Entity Status [x] Small Entity Status []

16 *Applicant hereby requests an extension of time in any case such an extension is necessary. The fee
should be charged to the Deposit Account indicated below.*

17 The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit
Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any
18 processing fees, including any necessary extension fees under 37 CFR 1.17.

19 Date: 10/30/00

20 By:

Lance R. Sadler
Reg. No. 38,605

21 **CERTIFICATE OF MAILING**

22 I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal
Service as first class mail in an envelope addressed to The Commissioner of Patents and Trademarks,
Washington, D.C. 20231, on the below-indicated date.

23 Date: 10/30/00

24 By:

Dana L. Calhoun

O N E
NOV 01 2000
PATENTS & TRADEMARKS
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)2952⁰⁰

Complete if Known	
Application Number	<u>09/599,806</u>
Filing Date	<u>6/21/00</u>
First Named Inventor	<u>Ruthfield</u>
Examiner Name	
Group Art Unit	
Attorney Docket No.	<u>MSI-557US</u>

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 12-0769
Deposit Account Name Lee & Hayes PLLC

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	<u>710</u>
106	320	206 160 Design filing fee	
107	490	207 245 Plant filing fee	
108	710	208 355 Reissue filing fee	
114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$)710⁰⁰

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
<u>84</u>	-20** = <u>64</u>	<u>18</u>	<u>1152</u>
<u>15</u>	-3** = <u>12</u>	<u>80</u>	<u>960</u>
Multiple Dependent			

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)2112⁰⁰

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES					
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	<u>130</u>
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)130⁰⁰

SUBMITTED BY				
Name (Print/Type)	<u>Lance R. Sadler</u>	Registration No. (Attorney/Agent)	<u>38,605</u>	Telephone <u>(509) 324-9256</u>
Signature	<u>Lance R. Sadler</u>	Date	<u>10/30/00</u>	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.